

1731

TRANSMITTAL LETTER			Case No. 11867/8
Serial No. 09/986,598	Filing Date November 9, 2001	Examiner Mark Halpern	Group Art Unit 1731
Inventor(s) Barry Smith Fagg et al.			
Title of Invention Cigarette Rod Product with Different Densities			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is First Supplemental Information Disclosure Statement (in dup.); Form PTO-1449; nine cited references; check for \$180; and return post card.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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TC 1700

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 42=			x \$84=	
+\$140=			+\$280=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$180.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*Marc V. Richards*  
Marc V. Richards  
Registration No. 37,921  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 16, 2003

Date:

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Signature:

*Marc V. Richards*

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on April 16, 2003

Date of Deposit

*Marc V. Richards*

Name of applicant, assignee or  
Registered Representative

*Marc V. Richards*

Signature

April 16, 2003

Date of Signature



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Our Case No.: 11867/8

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Barry Smith Fagg et al.

Serial No.: 09/986,598

Filing Date: November 9, 2001

For: Cigarette Rod Product with Different  
Densities

Examiner: Mark Halpern

Group Art Unit No.: 1731

## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form

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PTO-1449), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.97(a)(2):

**U.S. Patent Documents**

Document No.	Date	Inventor
3,759,267	09/1973	Thornton
3,902,504	09/1975	Owens, Jr. et al.
4,328,817	05/1982	Naylor et al.
4,595,024	06/1986	Greene et al.
4,715,388	12/1987	Rainer
4,793,364	12/1988	Labbe et al.
5,501,233	03/1996	Babey et al.
6,202,651 B1	03/2001	Luke et al.

**Foreign Patent Documents**

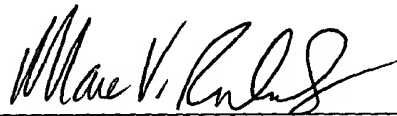
Document No.	Date	Country
0 498 265 A2	08/1992	EPO

As each of the listed references is in English, no further commentary is believed to be necessary. 37 C.F.R. §1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. § 1.56. As such, this Statement is not intended to constitute a representation that a search has been made, or as an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. § 1.56(a).

This First Supplemental Information Disclosure Statement is being filed before the mailing date of a final action, a Notice of Allowance or an action that closes prosecution of the application. Therefore, this paper is accompanied with a check in the amount of \$180.00 as payment of the fee required under 37 C.F.R. § 1.97(c) and 37 C.F.R. § 1.17(p). The Examiner is hereby authorized to charge any underpayment or credit any overpayment of this fee to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



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Marc V. Richards  
Registration No. 37,921  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200